

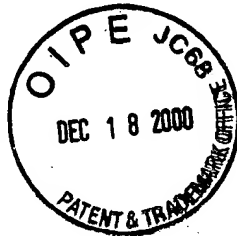


## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/686,516	10/11/2000	Nicholas D. Arnett	OPI-101

Michele Burris  
SHAW PITTMAN  
2300 N Street, NW  
Washington, DC 20037-1128



## FORMALITIES LETTER



\*OC000000005596281\*

Date Mailed: 12/05/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

12/20/2000 SDUONG 00000092 09686516

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65.00 OP

Section  
#38

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: ARNETT et al.

Serial No.: 09/686,516

Filed: October 11, 2000



For: SYSTEM AND METHOD FOR COLLECTION ANALYSIS OF ELECTRONIC  
DISCUSSION METHODS

**COMPLETION OF APPLICATION  
UNDER 37 C.F.R. 1.53(d)**

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Attn: Application Branch

Dear Sir:

Pursuant to 37 C.F.R. 1.53(d), counsel for Applicant encloses herewith the following documents to complete the subject application:

- 1) Combined Declaration and Power of Attorney;
- 2) Notice to File Missing Parts - Filing Date Granted and,
- 3) Small Entity Declaration.

It is respectfully submitted that the application is now complete and it is respectfully requested that the subject application be placed upon the files for examination.

Attached hereto is our check in the amount of \$65.00 to cover the required fees. The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account 50-1390. A duplicate copy of this paper is attached.

Respectfully submitted,



Michele Burris  
Reg. No. 44,576  
SHAW PITTMAN  
2300 N Street, NW  
Washington, D.C. 20037-1128  
(202) 663-8000

Attachments  
Date: December 18, 2000  
Case No.: OPI-101

Document #: 1110060 v.1

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Arnett et al.

Serial No.: 09/686,516

Filing Date: October 11, 2000



For: SYSTEM AND METHOD FOR COLLECTION ANALYSIS OF ELECTRONIC DISCUSSION METHODS

REQUEST FOR CORRECTION OF FILING RECEIPT

Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

Dear Sir:

RECEIVED  
MAR 14 2001  
TC 2100 MAILROOM

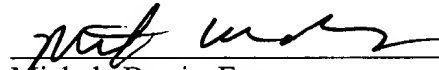
In the printing of the above-identified patent application filing receipt, an error was introduced by the Patent and Trademark Office, without fault of the Applicant. It is respectfully requested that the Patent and Trademark Office make the following correction on the filing receipt:

The title of the invention is **SYSTEM AND METHOD FOR COLLECTION ANALYSIS OF ELECTRONIC DISCUSSION METHODS** not **SYSTEM AND METHOD FOR COLLECTION ANALYSIS ELECTRONIC DISCUSSION METHODS**

After the above-mentioned correction has been made, Applicant respectfully request that the Patent and Trademark Office issue to Applicant a corrected filing receipt.

A copy of the filing receipt containing the error specified above is attached for your reference.

Respectfully submitted,



---

Michele Burris, Esq.  
Reg. No. 44,576  
SHAW PITTMAN  
2300 N Street, NW  
Washington, DC 20037

Date: December 18, 2000  
Case No.: OPI-101

Enclosure



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/686,516	10/11/2000	2152	355	OPI-101	5	2	2

## FILING RECEIPT



Michele Burris  
SHAW PITTMAN  
2300 N Street, NW  
Washington, DC 20037-1128

Date Mailed: 12/05/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Nicholas D. Arnett, Sunnyvale, CA ;  
David Holtzman, Herndon, VA ;  
David Pool, Winchester, VA ;

## Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 12/04/2000

\*\* SMALL ENTITY \*\*

## Title

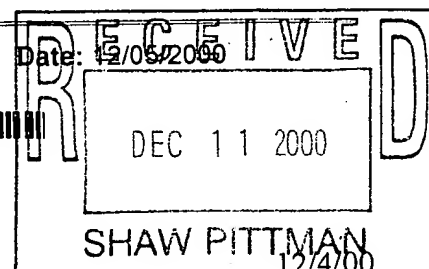
System and method for collection and analysis electronic discussion messages

## Preliminary Class

709

Data entry by : TADESSE, ETAGEAN

Team : OIPE



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

**PLEASE NOTE the following information about the Filing Receipt:**

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to: